

Attendees: Nicole Fulgham---Thomson Reuters; Robert Linville---Broadridge Financial; Kevin McCosker---Pershing; Ellen Bocina---National Financial Services; Joan DiBlasi---AFLAC; Kevin Burns---Computer Share; Janice DiTore –DTCC

Previous Meeting Notes: July 22, 2014 – Reviewed & Approved

Next Meeting Planned: August 12, 2014 Noon- 1:00 pm ET

7/29/2014 Meeting Notes

The Cost Basis Steering Group discussed to the following:

- A. The CBRS Best Practices for the new fixed income and options reporting requirements will be incorporated into the CBRS User Guide. In addition to the industry standards compiled by SIFMA and FIF groups for Cost Basis Reporting, some scenarios will be added to provide practical guidance to novice users.
- B. Alternative account documentation collection will begin immediately after Labor Day. Both the OCC number and the FED number / short name combination will be available to be added to the CBRS master file as inactive accounts. The alternative inactive accounts will be available for use on the DTC web interface to CBRS. DTCC will prepare an Important Notice and post the new documentation to allow several months for firms to populate their account information in preparation for the January IRS effective date.